

PART B - FEE(S) TRANSMITTAL

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10/18/2006

GATES & COOPER LLP
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| |
|--------------------|
| (Depositor's name) |
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| APPLICATION NO. | FILING DATE | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO. | CONFIRMATION NO. |
|-----------------|-------------|----------------------|---------------------|------------------|
| 10/764,286 | 01/23/2004 | Patrick D. Bossman | SVL920030112US1 | 9685 |

TITLE OF INVENTION: QUERY TRANSFORMATION FOR QUERIES INVOLVING CORRELATED SUBQUERIES HAVING CORRELATION JOIN PREDICATES WITH LOCAL FILTERING PREDICATES INVOLVING PREDICATE TRANSITIVE CLOSURE AND PREDICATE PULL-OUT

| APPLN. TYPE | SMALL ENTITY | ISSUE FEE DUE | PUBLICATION FEE DUE | PREV. PAID ISSUE FEE | TOTAL FEE(S) DUE | DATE DUE |
|----------------|--------------|---------------|---------------------|----------------------|------------------|------------|
| nonprovisional | NO | \$1400 | \$300 | \$0 | \$1700 | 01/18/2007 |

| EXAMINER | ART UNIT | CLASS-SUBCLASS |
|-----------|----------|----------------|
| KIM, PAUL | 2161 | 707-003000 |

1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363).

☐ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.

☒ "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer Number is required.

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(1) the names of up to 3 registered patent attorneys or agents OR, alternatively,

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1 Gates & Cooper LLP

2 _____

3 _____

3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document has been filed for recordation as set forth in 37 CFR 3.11. Completion of this form is NOT a substitute for filing an assignment.

(A) NAME OF ASSIGNEE

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International Business Machines
 Corporation

Armonk, New York

Please check the appropriate assignee category or categories (will not be printed on the patent): ☐ Individual ☒ Corporation or other private group entity ☐ Government

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5. Change in Entity Status (from status indicated above)

☐ a. Applicant claims SMALL ENTITY status. See 37 CFR 1.27.

☐ b. Applicant is no longer claiming SMALL ENTITY status. See 37 CFR 1.27(g)(2).

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Authorized Signature

George H. Gates

Typed or printed name

George H. Gates

01/11/2007 SZWDIE2 00000021 090460 10764286
 Date November 15, 2006

01 FC:1501 1400.00 DA
 Reg Fee: 1504 33,500.00 DA

This collection of information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

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Notice of Allow. Date: October 18, 2006

Confirmation No.: 9685

Due Date: January 18, 2007

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Patrick D. Bossman et al. Examiner: Paul Kim
Serial No.: 10/764,286 Group Art Unit: 2161
Filed: January 23, 2004 Docket: SVL920030112US1
Title: QUERY TRANSFORMATION FOR QUERIES INVOLVING CORRELATED SUBQUERIES
HAVING CORRELATION JOIN PREDICATES WITH LOCAL FILTERING PREDICATES
INVOLVING PREDICATE TRANSITIVE CLOSURE AND PREDICATE PULL-OUT

MAIL STOP ISSUE FEE
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Dear Sir:

We are transmitting herewith the attached:

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- ☒ "Fee Address" Indication Form.
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Please consider this a **PETITION FOR EXTENSION OF TIME** for a sufficient number of months to enter these papers, if appropriate.

Please charge all fees to Deposit Account No. 09-0460 of IBM Corporation, the assignee of the present application. A duplicate of this paper is enclosed.

Customer Number 45729
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GHG/bjs